

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	Mail Stop Sequence
Leif LINDHOLM	)	Group Art Unit: Unknown
Serial No.: 10/019,566 ✓	)	Examiner: Unknown
Filed: March 28, 2002	)	Confirmation No. 8060
For: RECOMBINANT ADENOVIRUS	)	

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In complete response to the Notification of Defective Response dated November 12, 2003, enclosed please find:

- [X] A copy of the "Sequence Listing" in computer readable form in compliance with 37 C.F.R. §§1.823(b) and 1.824;
- [X] A statement that the content of the paper and computer readable copies are the same as set forth in 37 C.F.R. §1.821(f); and
- [X] A copy of the Notification of Defection Response.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this paper is enclosed.

Respectfully submitted,

P.O. Box 1404  
Alexandria, VA 22313-1404  
(703) 836-6620

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: December 12, 2003

By Deborah H. Yellin  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

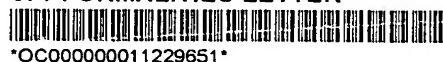
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U.S. APPLICATION NUMBER NO. 10/019,566	FIRST NAMED APPLICANT Leif Lindholm	ATTY. DOCKET NO. 003300-883
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21839  
 BURNS DOANE SWECKER & MATHIS L L P  
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INTERNATIONAL APPLICATION NO. PCT/SE00/01390	
I.A. FILING DATE 06/30/2000	PRIORITY DATE 07/06/1999

CONFIRMATION NO. 8060  
 371 FORMALITIES LETTER



\*0000000011229651\*

Date Mailed: 11/12/2003

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 12/31/2001
- Copy of the International Search Report filed on 12/31/2001
- Copy of IPE Report filed on 12/31/2001
- Preliminary Amendments filed on 12/31/2001
- Information Disclosure Statements filed on 12/31/2001
- Biochemical Sequence Diskette filed on 03/21/2003
- Oath or Declaration filed on 12/31/2001
- Biochemical Sequence Listing filed on 03/21/2003
- Small Entity Statement filed on 03/21/2003
- Request for Immediate Examination filed on 12/31/2001
- Copy of references cited in ISR filed on 12/31/2001
- U.S. Basic National Fees filed on 12/31/2001
- Priority Documents filed on 12/31/2001

*AWA PATENT*  
*BSD/DHY*

BURNS, DOANE, SWECKER & MATHIS, L.L.P. RECEIVED NOV 13 2003 11-18-03 DOCKETED <i>OPR</i>
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Applicant's response filed 03/21/2003 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 11/06/2003 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of Annex C of the

*Seq. Listing Due 12/12-03*

NOV 18 03

Administrative Instructions and 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

■ **APPLICANT MUST PROVIDE:**

- An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHRISTINE S WASHINGTON

Telephone: (703) 305-3752

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,566	PCT/SE00/01390	003300-883

FORM PCT/DO/EO/916 (371 Formalities Notice)